



PTO Rec'd

17 SEP 2002

CASE 4-31102A

PET  
1615

## CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

George Dohmann

Type or print name

George Dohmann

Signature

Sept. 10, 2002

Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF

SONNTAG ET AL.

INTERNATIONAL APPLICATION NO: PCT/EP00/07488

FILED: 2 AUGUST 2002

U.S. APPLICATION NO: 10/048,134

35 USC § 371 DATE: 27 JUNE 2002

FOR: EPOTHILONE COMPOSITIONS

TECH CENTER 1630/2900

SEP 3 0 2002

RECEIVED

Assistant Commissioner for Patents  
Washington, D.C. 20231

LETTER CORRECTING OFFICIAL FILING RECEIPT

Sir:

The official filing receipt received in the above-identified application erroneously listed the 371 filing date. On July 29, 2002, Applicants received a Notification of Acceptance of Application under 35 U.S.C 371 and 37 CFR 1.494 or 1.495 (NOAOA) (copy here enclosed), which upon reviewing the Notification Applicants noted that the date of receipt of all 35 U.S.C. requirements was **June 27, 2002** the correct 371 filing date and not January 23, 2002 as indicated on the filing receipt. The date that appeared on the filing receipt as the "filing date" was not the date on which the last of the 35 U.S.C 371 requirements had been received in the PTO but the date the application was filed in the national stage. Unless all the requirement are met at the time of filing the application in the national phase, a later 371 filing date is given as noted on the above NOAOA.

A Response to Notification of Missing Requirements was sent to the PTO by **Express Mail** on June 27, 2002, enclosing the executed declaration which fulfilled all necessary requirements on that date. Please issue a corrected filing receipt listing the filing date as follows:

-- June 27, 2002 --

A copy of the filing receipt with the correction noted is enclosed.

Applicants believe this error is ascribable to the Patent Office. Accordingly, no fees are required. However, if this is incorrect, the Commissioner is hereby authorized to charge any fee required to Deposit Account No. 19-0134 in the name of Novartis Corporation.

Respectfully submitted,



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George R. Dohmann  
Attorney for Applicants  
Reg. No. 33,593

Novartis Corporation  
Patent and Trademark Dept.  
564 Morris Avenue  
Summit, NJ 07901-1027  
(908) 522-6922  
GRD/dd  
Encl.: copy of Notification (NOAOA)  
Copy of filing receipt  
Date: **SEP 10 2002**



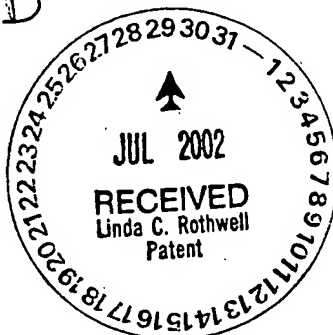
## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20230  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/048,134	Jean-Claude Sonntag	4-31102A

001095  
THOMAS HOXIE  
NOVARTIS CORPORATION  
PATENT AND TRADEMARK DEPT  
564 MORRIS AVENUE  
SUMMIT, NJ 079011027

GRD



INTERNATIONAL APPLICATION NO.	
PCT/EP00/07488	
I.A. FILING DATE	PRIORITY DATE
08/02/2000	08/04/1999

CONFIRMATION NO. 2734

371 ACCEPTANCE LETTER



\*OC000000008508228\*

Date Mailed: 07/25/2002

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

01/23/2002  
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and  
(c)(4) REQUIREMENTS

06/27/2002  
DATE OF RECEIPT OF ALL 35 U.S.C.  
REQUIREMENTS

*This date is The correct one per N.B.*

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments

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TECH CENTER 1600/2900

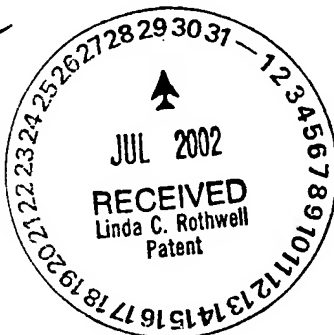


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/048,134 ✓	01/23/2002 6/27/02	1626	1020	4-31102A		7	2

001095  
THOMAS HOXIE  
NOVARTIS CORPORATION  
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CONFIRMATION NO. 2734

## FILING RECEIPT



\*OC000000008508227\*

GRD

Date Mailed: 07/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jean-Claude Sonntag, Kingersheim, FRANCE;  
Markus Wartmann, Riehen, SWITZERLAND;  
Karl-Heinz Altmann, Reinach, SWITZERLAND;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/EP00/07488 08/02/2000

## Foreign Applications

UNITED KINGDOM 9918429.3 08/04/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

Title

Epothilone compositions ✓

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